



# Best Available Copy

DRW

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attala re Application of:  
**Jaume Terradas Prat, et al.**

Serial No.: **10/524,164**

Date Filed: **February 7, 2005**

Title: **Operating Mechanism for a  
Parking Brake**

Group Art Unit: **3683**

Examiner: **unassigned**

Atty Docket No.: **B31237US**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Certificate of Mailing by First Class Mail

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date of signature, below.

Signature

*Raymond R. Ferrera*

Date

*March 15, 2006*

Dear Commissioner:

### TRANSMITTAL LETTER

Applicants Jaume Terradas Prat, et al. transmit herewith the following papers for filing in association with the above-referenced U.S. patent application:

- Request for Correction in *Official Filing Receipt*;
- Copy of the *Official Filing Receipt*, with required corrections indicated thereon;
- Copy of the *Declaration* as filed with the initial filing of the application; and
- Return postcard.

The Commissioner is authorized to charge Deposit Account No. 01-2511 for any underpayment of the fees required in association with the instant request.

Respectfully submitted,

Date: MARCH 15, 2006

*Raymond R. Ferrera*  
Raymond R. Ferrera, Esq.  
USPTO Registration No. 47,559  
ARNOLD & FERRERA, L.L.P.  
2401 Fountainview, Suite 630  
Houston, Texas 77057  
Tel: (713) 972-1150  
Fax: (713) 972-1180  
ATTORNEY FOR APPLICANT



# Best Available Copy

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

**Jaume Terradas Prat, et al.**

Group Art Unit: **3683**

§

§

§

Serial No.: **10/524,164**

§

§

§

§

§

§

Date Filed: **February 7, 2005**

Examiner: **unassigned**

Title: **Operating Mechanism for a  
Parking Brake**

Atty Docket No.: **B31237US**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Certificate of Mailing by First Class Mail

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date of signature, below.

Signature

*Jordi J. Jornet*

Date

*March 15, 2006*

## REQUEST FOR CORRECTION IN OFFICIAL FILING RECEIPT

Applicants hereby request correction of the *Official Filing Receipt* enclosed herewith. In particular, in the field for Applicants, the third listed Applicant is misspelled. The Applicant is listed on the *Receipt* as "Jord Vidal Jornet" instead of "Jordi Vidal Jornet." Also, in the title of the application, the *Receipt* indicates "Operating mechanism for a parking break" instead of "Operating mechanism for a parking brake." Therefore, Applicants request the Office issue a *Corrected Official Filing Receipt* showing the correct spelling of the third Applicant and the correct spelling of the title as indicated on the attached, marked-up copy of the *Receipt*. Also attached is a copy of the *Declaration* as filed, which indicates the correct spelling of the applicant and title.

# Best Available Copy

Since the incorrect recitation of the Applicant name and title are believed to be the fault of the Office rather than the Applicant, it is respectfully submitted that no fees should be charged to Applicant for the requested correction. However, the Office is authorized to debit deposit account no. 01-2511 for any fees that may in fact be due in furtherance of the instant request.

Respectfully submitted,

Date: March 15, 2006

Raymond R. Ferrera

Raymond R. Ferrera, Esq.  
USPTO Registration No. 47,559  
ARNOLD & FERRERA, L.L.P.  
2401 Fountainview, Suite 630  
Houston, Texas 77057  
Tel: (713) 972-1150  
Fax: (713) 972-1180  
ATTORNEY FOR APPLICANTS



**Best Available Copy**

UNITED STATES PATENT AND TRADEMARK OFFICE

MAR 7 2006

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/524,164	12/27/2005	3683	1130	B31237US	2	11	2

**CONFIRMATION NO. 2468**

Arnold & Ferrera  
2401 Fountainview  
Suite 630  
Houston, TX 77057

**FILING RECEIPT**



\*OC000000018097887\*

Date Mailed: 03/02/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Jaume Terradas Prat, Barcelona, SPAIN;  
Jesus Alvarez Florez, Barcelona, SPAIN;  
Jordi Vidal Jornet, Barcelona, SPAIN;  
Ismael Callejon I Agramunt, Barcelona, SPAIN;

**Power of Attorney:**

Raymond Ferrera--47559

**Domestic Priority data as claimed by applicant**

This application is a 371 of PCT/EP03/08781 08/07/2003

**Foreign Applications**

GERMANY 102 36 216.5 08/07/2002

**If Required, Foreign Filing License Granted: 02/18/2006**

**The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/524,164**

**Projected Publication Date: 06/01/2006**

**Non-Publication Request: No**

**Early Publication Request: No**

**Title**

Operating mechanism for a parking ~~break~~ <sup>break</sup> brake

**Best Available Copy**

**Preliminary Class**

188

## **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

### **LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15**

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted

# Best Available Copy

under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

## **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



# Best Available Copy

PTO/SB/01 (09-04)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

Declaration Submitted With Initial Filing

OR

Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number	B31237US
------------------------	----------

First Named Inventor	Jáume Terradas Prat
----------------------	---------------------

COMPLETE IF KNOWN

Application Number	10/524,164
--------------------	------------

Filing Date	02/07/2005
-------------	------------

Art Unit	Unassigned
----------	------------

Examiner Name	Unassigned
---------------	------------

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Operating Mechanism for a Parking Brake

(Title of the Invention)

the specification of which

Is attached hereto

OR

was filed on (MM/DD/YYYY) 02/07/2005 as United States Application Number or PCT International

Application Number 10/524,164 and was amended on (MM/DD/YYYY)   (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES	Certified Copy Attached? NO
102 36 216.5	DE	08/07/2002	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
WO 2004/014707 A2	PCT	08/07/2003	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance completing the form, call 1-800-PTO-9199 and select option 2.

# Best Available Copy

PTO/SB/02A (09-04)

Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S) Supplemental Sheet</b>
--------------------	--

Page 1 of 1

<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))  <i>Jordi Vidal</i>		Family Name or Surname  <i>Jornet</i>	
Inventor's Signature  <i>[Signature]</i>		Date <i>01/06/05</i>	
Residence: City  <i>C/Galvani 110 3<sup>rd</sup> Terrassa</i>	Barcelona State	Spain Country	Spain Citizenship
<b>Mailing Address</b>			
City  <i>[Signature]</i>	Barcelona State	E-08025 Zip	Spain Country
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))  <i>Ismael Callahan I</i>		Family Name or Surname  <i>Agramunt</i>	
Inventor's Signature  <i>[Signature]</i>		Date <i>27/05</i>	
Residence: City  <i>Centre d'Enginyeria d'Automoció Av. Diagonal, 647</i>	Barcelona State	Spain Country	Spain Citizenship
<b>Mailing Address</b>			
City  <i>[Signature]</i>	Barcelona State	E-08028 Zip	Spain Country
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature  <i>[Signature]</i>		Date <i>[Signature]</i>	
Residence: City  <i>[Signature]</i>	State	Country	Citizenship
<b>Mailing Address</b>			
City  <i>[Signature]</i>	State	Zip	Country

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.*

# Best Available Copy

PTO/SB/01 (09-04)

Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## DECLARATION -- Utility or Design Patent Application

Direct all correspondence to:		<input checked="" type="checkbox"/> The address associated with Customer Number:	28805	OR <input type="checkbox"/> Correspondence address below
Name				
Address				
City		State		ZIP
Country		Telephone		Fax
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p>				
NAME OF SOLE OR FIRST INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle if any)  Jaume Terradas		Family Name or Surname  Prat		
Inventor's Signature				Date 01.06.05
Residence: City	State Barcelona	Country Spain	Citizenship Spain	
Mailing Address C/Rosello 492 2 <sup>2</sup> a				
City	State Barcelona	Zip E-08025	Country Spain	
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned Inventor		
Given Name (first and middle if any)  Jesus Alvarez		Family Name or Surname  Florez		
Inventor's Signature				Date
Residence: City	State Barcelona	Country Spain	Citizenship Spain	
Mailing Address Centre d'Enginyeria d'Automoció Av. Diagonal, 647				
City	State Barcelona	Zip E-08028	Country Spain	
<input checked="" type="checkbox"/> Additional Inventors or a legal representative are being named on the 1 supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.				